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Attorney Docket # 5346-7CIP

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Ulrich STIMMING et al.

Serial No.: 10/054,213

Filed: November 13, 2001

For: Fuel Cell with Pulsed Anode Potential

Examiner: J. Crepeau

Group Art: 1745

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September 7, 2006

(Date of Deposit)

**Alfred W. Froebrich**

Name of applicant, assignee or Registered Representative

*Alfred W. Froebrich*

Signature

September 7, 2006

Date of Signature

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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**SUPPLEMENTAL APPEAL BRIEF**

**In Response to Notification of Non-Compliant Appeal Brief**

SIR:

This Supplemental Appeal Brief is submitted to address the deficiencies noted in the Notification of Non-Compliant Appeal Brief dated August 17, 2006 and supercedes the Appeal Brief filed on June 29, 2006. The Grounds of Rejection to be Reviewed and the Arguments are updated to address the deficiencies noted in the Notification. More specifically, there is now only one Ground of Rejection to be Reviewed. The Appeal Brief is now deemed to be in compliance with 37 C.F.R. §41.37.

This is an appeal, pursuant to 37 C.F.R. § 41.37 from the decision of the Examiner in the above-identified application, as set forth in the Final Office Action wherein the Examiner

finally rejected appellant's claims. The rejected claims are reproduced in the Appendix A attached hereto. A Notice of Appeal was filed on March 23, 2006 with a Pre-Appeal Brief Request for Review. A Notice of Panel Decision from the Pre-Appeal Brief Review dated April 25, 2006 indicated that the application should proceed to the Board of Patent Appeals and Interferences.

The fee of \$500.00 for filing an Appeal Brief pursuant to 37 C.F.R. § 41.20 was previously submitted. Any additional fees or charges in connection with this application may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

#### **REAL PARTY IN INTEREST**

The assignee, Mannesmann AG, of applicants, Ulrich STIMMING, Kaspar Andreas FRIEDRICH, and Wolfgang UNKAUF, is the real party of interest in the above-identified U.S. Patent Application.

#### **RELATED APPEALS AND INTERFERENCES**

There are no other appeals and/or interferences related to the above-identified application at the present time.

#### **STATUS OF CLAIMS**

Claims 1, 2 were amended and claims 10-13 were added in an amendment filed October 22, 2004. Claims 1-13 have been rejected. Claims 1-13 are on appeal.

#### **STATUS OF AMENDMENTS**

There have been no Amendments to the application filed subsequent to the Final Office Action.

#### **SUMMARY OF THE CLAIMED SUBJECT MATTER**

Independent claim 1 is directed to a fuel cell having “an anode-electrolyte-cathode unit having an anode catalyst” and “means for impressing a positive voltage on the anode of the fuel

cell” (see page 4, lines 6-9, and page 7, lines 3-4, of the specification). A specific example of the means for impressing a positive voltage pulse is shown in Fig. 1 and includes a signal generator 5 and a MOSFET 6 (page 7, lines 3-4). The means for impressing a positive voltage pulse on the anode is operated so that the fuel cell voltage does not change sign and at most becomes zero so that  $U(\text{fuel cell}) = U(\text{cathode}) - U(\text{anode}) \geq 0$  (see page 4, lines 9-11). Furthermore, the magnitude of the voltage pulse is chosen during operation such that carbon monoxide adsorbed at the anode catalyst is oxidized (page 4, lines 12-15).

Independent claim 2 recites a “method for removing carbon monoxide from an anode catalyst of a fuel cell comprising the step of impressing at least one positive voltage pulse on the anode (see page 4, lines 6-9, and page 7, lines 3-4, of the specification). The step of impressing is performed so that “the fuel cell has a voltage that does not change sign and at most becomes zero so that  $U(\text{fuel cell}) = U(\text{cathode}) - U(\text{anode}) \geq 0$ ” (see page 4, lines 9-11).

Dependent claim 12 recites a “means for impressing repeated positive voltage pulses” which is shown in Fig. 1 and includes the signal generator 5 and the MOSFET 6 (page 7, lines 3-4).

## **GROUND OF REJECTION TO BE REVIEWED IN APPEAL**

1. Whether independent claims 1 and 2 are anticipated under 35 U.S.C., 102(b) by U.S. Patent No. 6,096,448 (Wilkinson)?

## **ARGUMENT**

Independent claim 1 is directed to a fuel cell and recites “an anode-electrolyte-cathode unit having an anode catalyst” and “means for impressing a positive voltage pulse on the anode, wherein the fuel cell has a voltage that does not change sign and at most becomes zero so

that  $U(\text{fuel cell}) = U(\text{cathode}) - U(\text{anode}) \geq 0$ ". Independent claim 2 is directed to a method for removing carbon monoxide from an anode catalyst of a fuel cell and includes the step of "impressing at least one positive voltage pulse on the anode, wherein the fuel cell has a voltage that does not change sign and at most becomes zero so that  $U(\text{fuel cell}) = U(\text{cathode}) - U(\text{anode}) \geq 0$ ".

A claim is anticipated only if each and every element as set forth in the claim is found, either expressly or inherently described, in a single prior art reference. *Verdegaal Bros. V. Union Oil Co. of California*, 814 F.2d 628, 631, 2 USPQ2d 1051, 1053 (Fed. Cir. 1987). "The identical invention must be shown in as complete detail as is contained in the ... claim." *Richardson v. Suzuki Motor Co.*, 868 F.2d 1226, 1236, 9 USPQ2d 1913, 1920 (Fed. Cir. 1989). Wilkinson fails to disclose the recitation "impressing at least one positive voltage pulse on the anode", as expressly recited in each of independent claims 1 and 2. In contrast, Wilkinson discloses only the step of causing a fuel starvation condition at the anode.

Wilkinson discloses a method and apparatus for operating an electrochemical fuel cell with periodic fuel starvation. Fuel starvation is defined in Wilkinson as a reduction in fuel supply to the anode electrocatalyst which results in an increase in the anode potential. Wilkinson discloses three embodiments for achieving fuel starvation. In a first embodiment, the delivery of fuel to the anode of the fuel cell is periodically interrupted using a valve or pump (see col. 5, lines 13-21, in Wilkinson). In a second embodiment, a flow of fuel free fluid is periodically brought to the anode (see col. 5, lines 40-50). In a third embodiment, a switch periodically connects a transient electrical load to the fuel cell which draws electrical power greater than that which can be produced

by the fuel supply so that the fuel cell becomes fuel starved (see col. 4, lines 23-35; and col. 5, lines 51-60).

The Examiner alleges that the increase in anode potential due to fuel starvation discloses “impressing at least one positive voltage pulse on the anode”, as expressly recited in independent claims 1 and 2. Although the fuel starvation at the anode disclosed by Wilkinson causes the anode potential to be raised, the step of causing fuel starvation can not be considered to read on “impressing a positive voltage pulse on the pulse on the anode”, as recited independent claims. Wilkinson is wholly devoid of any disclosure, teaching or suggestion for “impressing a positive voltage pulse on the anode”. Accordingly, independent claims 1 and 2 are not anticipated by Wilkinson under 35 U.S.C. §102.

Furthermore, Wilkinson raises the anode potential without the need for means for impressing a positive voltage pulse to the anode. In contrast to the claimed invention, Wilkinson manipulates the fuel supply to the anode using the already existing fuel supply controller. Since Wilkinson teaches how to raise the anode potential using a pre-existing part of the fuel cell, Wilkinson provides no motivation for providing the means for impressing a positive voltage pulse to the anode. Therefore, independent claim 1 and 2 are also not rendered obvious by Wilkinson under 35 U.S.C. §103

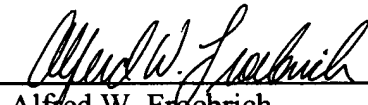
For the foregoing reasons, it is respectfully submitted that Wilkinson fails to establish a case of anticipation or obviousness with regard to the subject matter recited in claims. The Final Rejection of the independent claims 1 and 2 should be reversed. Furthermore, the rejections of dependent claims 3-13 should also be reversed for the same reasons.

### CONCLUSION

For the foregoing reasons, it is respectfully submitted that appellants' claims are not anticipated by or obvious over Wilkinson and are, therefore, patentable over the art of record, and the Examiner's rejections should be reversed.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE LLP

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Dated: September 7, 2006

## CLAIMS APPENDIX

1. (previously presented) A fuel cell, comprising:  
an anode-electrolyte-cathode unit having an anode catalyst; and  
means for impressing a positive voltage pulse on the anode, wherein the fuel cell has a voltage that does not change sign and at most becomes zero so that  $U(\text{fuel cell}) = U(\text{cathode}) - U(\text{anode}) \geq 0$ .
2. (previously presented) A method for removing carbon monoxide from an anode catalyst of a fuel cell comprising the step of impressing at least one positive voltage pulse on the anode, wherein the fuel cell has a voltage that does not change sign and at most becomes zero so that  $U(\text{fuel cell}) = U(\text{cathode}) - U(\text{anode}) \geq 0$ .
3. (original) A method as defined in claim 2, including impressing repeated positive voltage pulses on the anode.
4. (original) A method as defined in claim 2, further including using reformed alcohols as fuel.
5. (original) A method as defined in claim 2, further including using reformed hydrocarbons as fuel.

6. (original) A method as defined in claim 4, including reforming the alcohols internally in the fuel cell.

7. (original) A method as defined in claim 5, including reforming the hydrocarbons internally in the fuel cell.

8. (original) A method as defined in claim 2, wherein a direct conversion of alcohols takes place at the anode.

9. (original) A method as defined in claim 2, wherein a direct conversion of hydrocarbons takes place at the anode.

10. (previously presented) The fuel cell of claim 1, wherein a magnitude of the voltage of the voltage pulse is chosen during operation to oxidize carbon monoxide adsorbed at the anode catalyst.

11. (previously presented) The method of claim 2, wherein a magnitude of the voltage of the voltage pulse is chosen during operation to oxidize carbon monoxide adsorbed at the anode catalyst.

12. (previously presented) The fuel cell of claim 1, wherein said means for impressing a positive voltage pulse comprises means for impressing repeated positive voltage pulses on the anode, wherein a time period between pulses is varied in response to load changes.



13. (previously presented) The method of claim 3, wherein said step of impressing repeated positive voltage pulses comprises varying a time period of the repeated positive voltage pulses in response to load changes.

EVIDENCE APPENDIX

None

RELATED PROCEEDINGS APPENDIX

None